



OPEN A CLEARING ACCOUNT WITH ATRIUM BROKERAGE GROUP LLC:

A note to our clients: In order to help the government fight the funding of terrorism via money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. This means that when you open an account you will be required to provide your name, address, date of birth and other credentials as required.

Along with the appropriate account forms, please submit the following supporting documentation according to the type of account as listed below.

Individual, Joint or Sole Proprietorship Accounts must provide the following:

- Legible copy of a Driver's License, US Passport or other identifying document that is issued via US Government
- Doing Business as Letter

Corporate/LLC Accounts must provide the following according to the formation of the corporation:

- Articles of Incorporation
- By-Laws/Operating Agreement (and/or) A Legal list of Officers authorized to sign agreements on behalf of the Corporation/LLC
- Driver's License or Passport copies for all signatories utilized in account application

General, Limited, Trading or Managed Ltd Partnership Accounts must provide the following:

- Partnership Agreement or Operating Agreement
- Legal list of Partners, including the managing partners
- Driver's License copies for each partner OR in the case of Managing Partner(s), Managing Partner(s) Driver's License copies

Trust Accounts must provide the following according to the formation of the Trust:

- Trust Document
- Trustee Driver's License or Passport

All copies should be legible enough to read and distinguish for signature verification.

If you have questions or concerns, we would be more than happy to help you through the process.

New Account forms can be received at Atrium Brokerage Group, LLC via Email, Fax or Regular mail as follows:

Atrium Brokerage Group, LLC
Attn: New Accounts
135 S LaSalle Street
Suite 3902
Chicago, IL 60603

Toll Free: 1-866-334-2684
Fax: 1-312-492-4201
Email: info@atriumbrokerage.com